

National registration database search tips

Search by firm name. When you search by firm name, the results list will provide a list of matching names and a list of all provinces where the firm is registered to do business. To narrow your search to BC firms only, click on 'clear all' under 'jurisdictions' and reset to BC only.

Clicking on the firm name will take you to the firm's 'current record'. Select 'Registered and Permitted Individuals' for a list of all advisors employed in the firm, and then review the list to confirm that the advisor you are looking up works there.

The screenshot displays the 'National Registration Search' page. At the top, there is a navigation bar with links for Home, Member Login, and Français. Below this is a search bar. The main navigation menu includes 'About CSA', 'Investor Tools', 'Enforcement', 'Cease Trade Orders', 'Registration', and 'Industry Resources'. The 'Registration' section is active, with a sub-menu for 'National Registration Search'.

The search interface includes the following fields and options:

- Search Type:** Radio buttons for 'Individual' and 'Firm' (selected).
- Firm Name:** Text input field containing 'AdvisorCo'.
- Status:** Checkboxes for 'Active' (checked), 'Historical', 'Suspended', and 'Historical prior to September 28, 2009'.
- Jurisdiction:** Checkboxes for various provinces and territories. 'British Columbia' is checked. A 'Clear all' button is also present.
- Category:** A dropdown menu labeled 'Select categories'.
- Registered From:** Date input fields for 'From' and 'To'.

Buttons for 'SEARCH »' and 'RESET »' are located at the bottom of the search form.

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Search by individual name: Selecting 'individual' as your search, and typing in the person's name, will show you everyone in the system with that name, each one with the name of their current employer. Click on the name you're checking to see that the advisor is registered to provide the services you need and also to review their registration history.

TIP 1: You can narrow your search by jurisdiction by selecting 'clear all' and re-selecting BC only.

TIP 2: If you do not have the person's full legal name, just use the surname.

TIP 3: A common name like Jones, Lee, Smith, or Wong may present you with an overwhelming number of results. Limit your search by adding an initial and the asterisk symbol (*) as a wildcard. The * symbol tells the system to look for anything that starts with the given letter. Example: searching 'wong' returns more than 300 records, but searching 'c* wong' returns far fewer.

The screenshot displays the 'National Registration Search' page. At the top, there is a navigation bar with 'Home', 'Member Login', and 'Français' links. Below this is a search bar and a menu with options: 'About CSA', 'Investor Tools', 'Enforcement', 'Cease Trade Orders', 'Registration', and 'Industry Resources'. The main content area is titled 'National Registration Search' and includes a sidebar with 'Registration' and 'National Registration Search' links. The search form contains the following elements:

- Search Type:** Radio buttons for 'Individual' (selected) and 'Firm'. A 'RESET >' button is to the right.
- Individual Name:** A text input field containing 'Lee', circled in red.
- Firm Name:** An empty text input field.
- Status:** Checkboxes for 'Active' (checked), 'Suspended', 'Historical', and 'Historical prior to September 28, 2009'.
- Jurisdiction:** A 'Clear all' button and a grid of checkboxes for provinces and territories. 'British Columbia' is checked and circled in red.
- Category:** A dropdown menu labeled 'Select categories'.
- Registered From:** Two date input fields with calendar icons, labeled 'Registered From:' and 'To:'.
- Buttons:** 'SEARCH >' and 'RESET >' buttons at the bottom of the form.