

Worksheet for choosing an investment

This worksheet supports Chapter 4: Making Investment Decisions. Use it for each investment you consider, working with your advisor to answer each of the questions below. Some questions may not apply to every investment.

Q. *What investment product do you recommend?*

A. Name of investment:

Investment type:

How does this investment work and how will it make money?

Q. *What does the company or fund do and how does it make money?*

A. Type of business:

Industry sector:

Income source(s):

Q. *Who leads the company or fund? What have they done before? What is their track record?*

A.

Q. *How will I make money?*

A. Share price increase	Yes	No
Dividends	Yes	No
Other		Amount

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Q. *If I buy this, can I easily sell it at any time? Are there any penalties for selling it before a certain date?*

A. Can sell at anytime? Yes No

Penalty for selling before redemption date Yes No

Details of penalty:

Is it suitable?

Q. *What makes this investment suitable for me?*

A.

Q. *What makes this better than what I currently have (if replacing a current investment)?*

A.

How much will it cost?

Q. *What are the total present and future fees for this investment?*

A. Price

Commission Yes No

Management fee Yes No %

Deferred fees Yes No

Other

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Q. *Please provide a range of how much I might make on this investment under a number of different scenarios?*

A. Conservative scenario:

Worst-case scenario:

Are there better alternatives?

Q. *Have you considered other investments that equally support my investment goals?*

A. Yes No

Details:

Q. *If so, do they cost less and/or are they less risky?*

A.

Q. *Do you recommend these alternatives? Why or why not?*

A.

Your own research

I have taken the following steps to better understand this investment:

Asked advisor for documentation	Yes	No
Read documents provided by advisor	Yes	No
Reviewed disclosure documents on SEDAR	Yes	No
Read about the company on the internet	Yes	No