

THE BC SECURITIES COMMISSION  
Complaint Form

Tell us about a company or individual that you believe has violated the securities laws of British Columbia.

We use the information you provide in this form to determine what action, if any, the BC Securities Commission should take.

Our [guide to filling out the complaint form](#) offers additional information about each section of the form.

**1. Tell us what happened**

(A) When did the events that led to your complaint take place?

From (yyyy/mm/dd): \_\_\_\_\_ To (yyyy/mm/dd): \_\_\_\_\_

(B) Have you, or another person, started legal action in relation to this complaint?

Yes

No

(C) Indicate any organizations that you have contacted about your complaint:

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(D) Describe the events in the order they happened:

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**2. Tell us about the company you are concerned about (if you are only concerned about an individual, please skip to section 3 below)**

Give us as much information as you can. If you need more space, feel free to include another page.

Company Name \_\_\_\_\_

Type of Company \_\_\_\_\_

Company Address \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_

Email Address \_\_\_\_\_

Website \_\_\_\_\_

Individuals associated with the company  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**3. Tell us about an individual you are concerned about**

Give us as much information as you can. If you need more space, feel free to include another page.

Mr/Ms/Mrs \_\_\_\_\_  
First name Middle name Family name

Employer/Company \_\_\_\_\_  
\_\_\_\_\_

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Address

[ ] Home [ ] Office

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Home Phone

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Office Phone

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Fax

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Email Address

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**4. Documents and information sources relevant to your complaint**

If you have documents, emails, or websites supporting your complaint (for example, offering documentation, account statements or invoices) list them below. Please provide us with copies, and keep original documents in a safe place.

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**5. Please provide your contact information**

Mr/Ms/Mrs

First name

Family name

Address

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City

Postal Code

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Home Phone

Office Phone

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Cell Phone

Email Address

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When is the best time for us to call you? \_\_\_\_\_

## THE BC SECURITIES COMMISSION Complaint Form

### Mail, fax or email this form to:

**Email:** [Inquiries@bcsc.bc.ca](mailto:Inquiries@bcsc.bc.ca)

**Mail:**

BCSC Inquiries  
British Columbia Securities Commission  
P.O. Box 10142, Pacific Centre  
Vancouver, British Columbia  
V7Y 1L2

**Fax:** (604) 899-6506

### More questions?

Contact BCSC Inquiries at: [Inquiries@bcsc.bc.ca](mailto:Inquiries@bcsc.bc.ca)

### What happens now?

Thank you for telling us about your complaint. We will contact you to confirm that we have received it. We encourage you to file a complaint as soon as possible, if you think an individual or company has violated the securities laws of British Columbia. Please note that, by law, we may not be able to take action on your complaint if the incident occurred more than six years ago.

If we determine that we should conduct a detailed review of your complaint, you will receive a letter with contact details for the person assigned to assess your complaint. You may contact this person to discuss any further questions, comments or concerns, or to provide additional or new information relevant to your complaint. Please understand that the contact person is unable to discuss with you any details of an investigation prior to its conclusion. We take this precaution to ensure that we do not divulge any information that may jeopardize an investigation.

The BCSC collects the information on this form to determine what, if any, action it can take. The BCSC treats all complaints it receives in confidence. The information you provide will only be used in accordance with the BCSC's duties and powers, as described in the British Columbia *Securities Act*.

For more on our privacy policy and use of information, view the [Legal page](#) on our website.