



## Complaint Form

### Report to the BC Securities Commission

Tell us about a company or individual that you believe has violated the securities laws of British Columbia.

We use the information you provide in this form to determine what action, if any, the BCSC should take.

Please note that if you choose to remain anonymous, we cannot follow up with you. Please provide as much detail as possible in your submission.

### Please provide your contact information

Name

\_\_\_\_\_

First name

\_\_\_\_\_

Last name

Address

\_\_\_\_\_

\_\_\_\_\_

City and  
Province/State

\_\_\_\_\_

Postal Code

\_\_\_\_\_

Home Phone

\_\_\_\_\_

Work Phone

\_\_\_\_\_

Cell Phone

\_\_\_\_\_

Email

\_\_\_\_\_

## Tell us about the company and/or individual you are concerned about

Give us as much information as you can. If you need more space, feel free to include another page.

Company Name \_\_\_\_\_

Individual Name(s) \_\_\_\_\_

Relationship to Company \_\_\_\_\_

Address \_\_\_\_\_

Phone number \_\_\_\_\_

Email \_\_\_\_\_

Website URL \_\_\_\_\_

Social Media URL \_\_\_\_\_

## Tell us what happened

When did the events that led to your complaint take place? If you are unsure about the specific dates, use the “description” to estimate the approximate time frame.

From (yyyy/mm/dd) \_\_\_\_\_ To (yyyy/mm/dd) \_\_\_\_\_

Describe the events in the order they occurred:

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If you have documents, emails, or websites supporting your complaint (for example, offering documentation, account statements, or subscription form) list them below. Please provide us with copies when you send in this complaint, and keep the original documents in a safe place.

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Solicitation Method / Platform (place an 'x' for all that apply, if applicable)

If your complaint involves a solicitation, promotion or other communication, please indicate the method/platform used:

|                          |                         |                          |              |                          |                        |
|--------------------------|-------------------------|--------------------------|--------------|--------------------------|------------------------|
| <input type="checkbox"/> | Boiler room / cold call | <input type="checkbox"/> | Twitter      | <input type="checkbox"/> | Newspaper              |
| <input type="checkbox"/> | Social Media            | <input type="checkbox"/> | LinkedIn     | <input type="checkbox"/> | Email spam             |
| <input type="checkbox"/> | Facebook                | <input type="checkbox"/> | Craigslist   | <input type="checkbox"/> | Text spam              |
| <input type="checkbox"/> | WeChat                  | <input type="checkbox"/> | Kijiji       | <input type="checkbox"/> | Regular mail / flyer   |
| <input type="checkbox"/> | Instagram               | <input type="checkbox"/> | Reddit       | <input type="checkbox"/> | N/A                    |
| <input type="checkbox"/> | TikTok                  | <input type="checkbox"/> | Google popup | <input type="checkbox"/> | Other (describe below) |

Other information

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Who have you contacted about this matter? (place an 'x' for all that apply, if applicable)

- The company
- The individual(s)
- Financial institution (please describe below)
- Investment Industry Regulatory Organization of Canada (IIROC)
- Mutual Fund Dealers Association of Canada (MFDA)
- The individual(s)
- Other provincial or territory securities regulator (please describe below)
- Ombudsman for Banking Services and Investments (OBSI)
- Police (please describe below)
- Other (describe below)

Other information

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Legal action taken (if applicable)

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Other investors involved (if applicable)

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Thank you for telling us about your complaint.

## What happens now

If we determine that a detailed review of your complaint should be conducted, you may receive an email with a complaint number. You may contact the BCSC to discuss any further questions, comments or concerns, or to provide additional or new information relevant to your complaint. Please understand that the contact person cannot discuss with you details of an investigation. We take this precaution to ensure that we do not divulge any information that may jeopardize an investigation.

Section 11 of the *Securities Act* requires us to keep information confidential unless our public duty requires us to disclose information, such as when we issue a Notice of Hearing.

If we determine public action is needed, we take steps such as issuing a halt, cease trade or temporary order or a notice of hearing.

The BCSC collects the information on this form to determine what, if any, action it can take. The BCSC keeps confidential all complaints it receives. The information you provide will only be used in accordance with the BCSC's duties and powers, as described in the *Securities Act*.

For more on our privacy policy and use of information, view the [Legal page](#) on our website.

## More questions?

Contact BCSC Inquiries at: [inquiries@bcsc.bc.ca](mailto:inquiries@bcsc.bc.ca)

## Mail, fax or email this form to:

Email: [inquiries@bcsc.bc.ca](mailto:inquiries@bcsc.bc.ca)

Mail: BCSC Inquiries

British Columbia Securities Commission

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Fax: (604) 899-6506